



From: Ziegler, Jennifer A Jennifer.Ziegler@morganstanley.com  
Subject: MSWM_Slides_20220126_163005_109_Jennifer.Ziegler.pdf
Date: January 26, 2022 at 4:42 PM
To: Perry Mixter pmixter@cainarts.org

Perry,

This is the presentation for Monday.

I sent some additional materials on the firm and our relationships as you specifically requested when we had our conference call. I will review the breadth and depth of the firm and be mindful of the time constraints. I wanted to send a sample investment policy statement, rebalancing article as well as the resources our firm brings to CAIN.

As your advisor I will be able to leverage the Global Investment Committee as well as the models that meets CAINs objectives, we are able to rebalance quarterly and I can share insight from our Global Investment Committee on rationale for trades etc.

As an advisory client, the trades are net of commission. As a fiduciary we disclose all fees in our single advisory contract. The management fee includes all reporting, rebalancing, tax documents, statements and oversight. This fee is based on assets under management.

Each investment has a expense that is priced into the security so there is no additional fee it comes out of the performance of the etf, mutual fund or separately managed account. I will explain that in my Zoom call.

The fees are transparent and are based on assets under management, here is the schedule for the advisory fee:

\$100,000 - \$249,999	1.1%
\$250,000 - \$499,999	1%
\$500,000 - \$999,999	0.8%
\$1million - \$2 million	0.7%
\$2 million - \$5 million	0.6%

The fees are billed monthly.

Thank you for the opportunity.

Best regards,

Jennifer Ziegler, CFP® | Vice President, Financial Advisor
Morgan Stanley Wealth Management | Family Wealth Advisor
NMLS # 1278848
17505 W. Catawba Ave Ste 300 | Cornelius, NC 28031
Mailing Address: 6805 Morrison Blvd Ste 400 | Charlotte, NC 28211

P: +1 (704) 896-9110

M: + 1(704) 317-3469

E: jennifer.ziegler@ms.com

[website: https://www.morganstanley.com/jennifer-ziegler/](https://www.morganstanley.com/jennifer-ziegler/)